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Washington, D.C. 20231

AUGUST 30, 2000

JOHN W.L. OGILVIE  
COMPUTER LAW++  
8 EAST BROADWAY, SUITE 725  
SALT LAKE CITY, UT 84111

PTAS

SEP 08 2000



\*101404215A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
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RECORDATION DATE: 06/14/2000

REEL/FRAME: 010906/0679  
NUMBER OF PAGES: 25

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

MELLMER, JOSEPH ANDREW

DOC DATE: 03/17/2000

ASSIGNOR:

YOUNG, RUSSELL T.

DOC DATE: 03/17/2000

ASSIGNOR:

PERKINS, AM D.

DOC DATE: 03/17/2000

ASSIGNOR:

ROBERTSON, JOHN M.

DOC DATE: 04/03/2000

ASSIGNOR:

SABIN, JEFFREY NEIL

DOC DATE: 03/17/2000

ASSIGNOR:

MCDONALD, MICHAEL C.

DOC DATE: 03/17/2000

ASSIGNOR:

PHILLIPS, DOUGLAS

DOC DATE: 03/22/2000

ASSIGNOR:  
SHERIDAN, ROBERT MICHAEL

DOC DATE: 03/29/2000

ASSIGNOR:  
NAZEER, NADEEM AHMAD

DOC DATE: 03/23/2000

ASSIGNOR:  
HIGLEY, DEEANNE BARKER

DOC DATE: 03/17/2000

ASSIGNOR:  
CARTER, STEPHEN R.

DOC DATE: 03/02/2000

ASSIGNOR:  
EARL, DOUGLAS G.

DOC DATE: 05/26/2000

ASSIGNOR:  
SONDEREGGER, KELLY E.

DOC DATE: 03/17/2000

ASSIGNEE:  
NOVELL, INC.  
1800 SOUTH NOVELL PLACE  
PROVO, UTAH 84606

SERIAL NUMBER: 60165344  
PATENT NUMBER:

FILING DATE: 11/12/1999  
ISSUE DATE:

SERIAL NUMBER: 60173432  
PATENT NUMBER:

FILING DATE: 12/29/1999  
ISSUE DATE:

SERIAL NUMBER: 60179953  
PATENT NUMBER:

FILING DATE: 02/03/2000  
ISSUE DATE:

SERIAL NUMBER: 60182642  
PATENT NUMBER:

FILING DATE: 02/15/2000  
ISSUE DATE:

LAWAN FLETCHER, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS



FORM PTO-1619A

Expires 06/30/99

OMB 0651-0027

NOV 14 PM 3:52

07-14-2000

U.S. Department of Commerce  
Patent and Trademark Office  
**PATENT**

101404215

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1909.2.74

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- ☐ Resubmission (Non-Recordation)  
Document ID#
- ☐ Correction of PTO Error  
Reel #  Frame #
- ☐ Corrective Document  
Reel #  Frame #

**Conveyance Type**

- ☒ Assignment ☐ Security Agreement
- ☐ License ☐ Change of Name
- ☐ Merger ☐ Other
- U.S. Government**  
(For Use ONLY by U.S. Government Agencies)
- ☐ Departmental File ☐ Secret File

**Conveying Party(ies)**☒ Mark If additional names of conveying parties attachedExecution Date  
Month Day Year

03/17/00

Name (line 1) Joseph Andrew Mellmer

Name (line 2) **Second Party**Execution Date  
Month Day Year

03/17/00

Name (line 1) Russell T. Young

Name (line 2) **Receiving Party**☐ Mark If additional names of receiving parties attached

☐ If document to be recorded  
is an assignment and the  
receiving party is not  
domiciled in the United  
States, an appointment  
of a domestic  
representative is attached.  
(Designation must be a  
separate document from  
Assignment.)

Name (line 1) Novell, Inc.

Name (line 2) 

Address (line 1) 1800 SOUTH NOVELL PLACE

Address (line 2) 

Address (line 3) PROVO

City

UTAH

State/Country

84606

Zip Code

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name John W.L. Ogilvie

Address (line 1) Computer Law++

Address (line 2) 8 East Broadway, Suite 725

Address (line 3) Salt Lake City, Utah 84111

Address (line 4) 

FOR OFFICE USE ONLY

07/12/2000 DNGUYEN 00000196 60165344

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160.00 DP

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Mail documents to be recorded with required cover sheet(s) Information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

**Correspondent Name and Address**

1909.2.74

Area Code and Telephone Number **801-355-0828**

Name **John W.L. Ogilvie**

Address (line 1) **Computer Law++**

Address (line 2) **8 East Broadway, Suite 725**

Address (line 3) **Salt Lake City, Utah 84111**

Address (line 4)

**Pages**

Enter the total number of pages of the attached conveyance document including any attachments.

# **19**

**Application Number(s) or Patent Number(s)**

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)**

**60/165,344**

**60/173,432**

**60/179,953**

**60/182,642**

**Patent Number(s)**

If this document is being filed together with a new Patent Application, enter the date the patent application was filed.

Month Day Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number

only if a U.S. Application Number has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

**Number of Properties**

Enter the total number of properties involved.

# **4**

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$ **160**

Method of Payment:  
Deposit Account

Enclosed ☒

Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

Authorization to charge additional fees:

Yes ☐

No ☐

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

John W.L. Ogilvie

Name of Person Signing

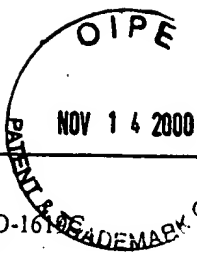
Signature

Date

**June 10, 2000**

Patent Number(s)		





FORM PTO-1610  
Expires 06/30/99  
OMB 0651-0027

RECORDATION FORM COVER SHEET  
CONTINUATION  
PATENTS ONLY (Page 5)

U.S. Department of Commerce  
Patent and Trademark Office  
**PATENT**

**Conveying Party(ies)**

☒ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Execution Date  
Month Day Year

Name (line 1) Nadeem Ahmad Nazeer

03/23/00

Name (line 2)

Execution Date  
Month Day Year

Name (line 1) DeeAnne Barker Higley

03/17/00

Name (line 2)

Execution Date  
Month Day Year

Name (line 1) Stephen R. Carter

03/02/00

Name (line 2)

**Receiving Party(ies)**

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

**Application Number(s) or Patent Number(s)**

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)







## ASSIGNMENT & AGREEMENT

Docket No.: 1909.2.74

We,

Joseph Andrew Mellmer, of 1423 Brookshire Drive, Salt Lake City, Utah 84106,

Russell T. Young, of 66 South 800 East, Pleasant Grove, Utah 84062,

Arn D. Perkins, of 1070 East Meadow Circle, Alpine, Utah 84004,

John M. Robertson, of 317 East 1640 North, Pleasant Grove, Utah 84062,

Jeffrey Neil Sabin, of 1258 East 150 South, Spanish Fork, Utah 84660,

Michael C. McDonald, of 940 East 1100 North, Orem, Utah 84097,

Douglas Phillips, of 136 East Ridge Road, Orem, Utah 84057,

Robert Michael Sheridan, of 11904 Proffitt Estates Ct., Oak Hill, VA 20171,

Nadeem Ahmad Nazeer, of 145 South 200 East #9, Provo, Utah 84606,

DeeAnne Barker Higley, of 545 East 2875 North, Provo, Utah 84604,

Stephen R. Carter, of 428 South Nebo Drive, Spanish Fork, Utah 84660,

Douglas G. Earl, of 1967 North 600 East, Orem, Utah 84097, and

Kelly E. Sonderegger, of 225 North 100 West, Santaquin, Utah 84655,

have invented methods, systems, signals, and devices for MANAGING DIGITAL IDENTITY INFORMATION, hereinafter called the "inventions".

Preferred embodiments of these inventions are disclosed in at least one or more of the following United States provisional patent applications:

Serial no. 60/165,344 filed November 12, 1999,

Serial no. 60/173,432 filed December 29, 1999,

Serial no. 60/179,953 filed February 3, 2000, and

Serial no. 60/~~182~~,~~642~~ filed February 15, 2000;

these applications are also identified by serial number and/or filing date within at least File No. 1909.2.74 of the law firm of Computer Law++, 8 East Broadway, Suite 725, Salt Lake City, Utah 84111 (we authorize Computer Law++ to fill in the serial number of the February 15, 2000 application). We have reviewed all these applications, and we are familiar with their contents.

The Assignee, Novell, Inc., a corporation of the State of Delaware, having a principal place of business at 122 East 1700 South, Provo, Utah 84606-6194, desires more clearly to secure the entire right, title and interest in all the inventions. In consideration of \$1.00 and other good and valuable consideration paid to us by the Assignee, the receipt and sufficiency of which we hereby acknowledge, and with

no reliance on any promise or representation made to us by or on behalf of the Assignee, WE HEREBY ASSIGN TO THE ASSIGNEE:

The entire right, title and interest in all the inventions (including subject matter disclosed but not claimed), all copyright and trade secret rights in embodiments or designs for the inventions or any portion thereof, all rights in the above-identified United States patent applications and in all divisions, continuations and continuations-in-part of the applications, or reissues or extensions of Letters Patent or Patents granted thereon, including all applications specifically identified by number herein and all applications for which any present application claims a benefit or is claimed for benefit under 35 U.S.C. § 119 or § 120, and in all corresponding applications filed in countries foreign to the United States, and in all patents issuing thereon in the United States and foreign countries.

The right to file foreign patent applications on any or all of the inventions in its own name, wherever such right may be legally exercised, including the right to claim the benefits of the International Convention for such applications.

We hereby authorize the Assignee to file patent or copyright applications on any or all of the inventions in any country in its own name or otherwise as the Assignee sees fit. We hereby authorize and request the United States Commissioner of Patents and Trademarks, and other intellectual property officials in this and foreign countries as are duly authorized by their laws to issue patents or copyright registrations, to issue any and all patents and registrations on any or all of the inventions to the Assignee as the owner of the entire interest, for the sole use and benefit of the Assignee, its successors, assigns and legal representatives.

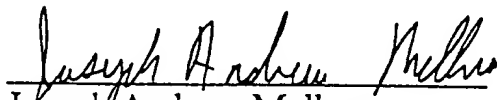
We hereby agree, without further consideration and without expense to us, to sign all lawful papers and to perform all other lawful acts which the Assignee may request of us to make this Assignment & Agreement fully effective, including, by way of example but not of limitation, the following:

Prompt execution of all original, divisional, substitute, reissue, and other United States and foreign patent and copyright applications on any or all of the inventions, and all lawful documents requested by the Assignee to further the prosecution of any of such applications.

Cooperation to the best of our ability in the execution of all lawful documents, the production of evidence, nullification, reissue, extension, or infringement or interference or other legal proceedings involving any or all of the inventions; faithful communication to the Assignee of all facts known to us relating to any or all of the inventions and the history thereof; and generally, taking all reasonable steps which the Assignee shall request to aid in securing, maintaining, and enforcing protection for any or all of the inventions and to vest title in the Assignee to all the inventions and all applications, patents, copyrights, and trade secrets based on any or all of the inventions.

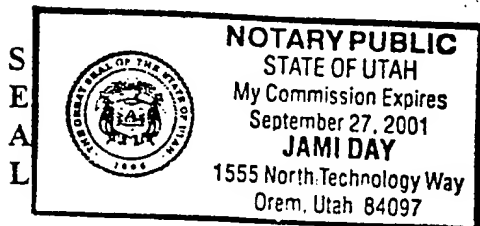
This Assignment & Agreement is effective no later than the earliest patent application filing date noted above. It is not intended to supersede, supplant, rescind, or waive any right, title or interest conveyed to Assignee by us, under any prior conveyance, agreement, or operation of law, including without restriction rights created or conveyed by virtue of our employment by the Assignee. It is not conditioned or based on any future act to be performed by the Assignee.

This Assignment & Agreement may be executed in duplicate counterparts, each of which shall be deemed an original, all of which together are one and the same instrument. We warrant that to the best of our knowledge each of our contributions to the inventions does not and will not infringe anyone's rights. This Assignment & Agreement is governed by the laws of the United States and the State of Utah, and any dispute arising from it will be heard in Utah. Even if part of this Assignment & Agreement is found unenforceable, the remaining parts are enforceable. A failure to exercise rights under this Assignment & Agreement does not mean those rights are waived. This Assignment & Agreement shall be binding upon our heirs and legal representatives.

  
Joseph Andrew Mellmer  
1423 Brookshire Drive  
Salt Lake City, Utah 84106

STATE OF Utah )  
COUNTY OF Utah ) §

On this 17<sup>th</sup> <sup>March</sup> day of ~~February~~, 2000, personally appeared before me Joseph Andrew Mellmer, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to on this Assignment & Agreement, and acknowledged that he executed the same.



Jami Day NOTARY PUBLIC  
27 Sept 2001 COMMISSION EXPIRATION

Russell T. Young  
66 South 800 East  
Pleasant Grove, Utah 84062

STATE OF \_\_\_\_\_ )  
COUNTY OF \_\_\_\_\_ ) §

On this \_\_\_\_ day of February, 2000, personally appeared before me Russell T. Young, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to on this Assignment & Agreement, and acknowledged that he executed the same.

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\_\_\_\_\_  
NOTARY PUBLIC  
COMMISSION EXPIRATION

Arn D. Perkins  
1070 East Meadow Circle  
Alpine, Utah 84004

On this \_\_\_\_ day of February, 2000, personally appeared before me Joseph Andrew Mellmer, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to on this Assignment & Agreement, and acknowledged that he executed the same.

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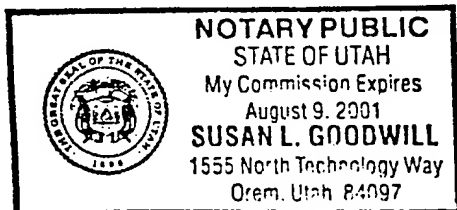
\_\_\_\_\_  
NOTARY PUBLIC  
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COMMISSION EXPIRATION

Russell T. Young  
Russell T. Young  
66 South 800 East  
Pleasant Grove, Utah 84062

STATE OF Utah )  
COUNTY OF Utah ) §

On this 17th day of March, 2000, personally appeared before me Russell T. Young, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to on this Assignment & Agreement, and acknowledged that he executed the same.

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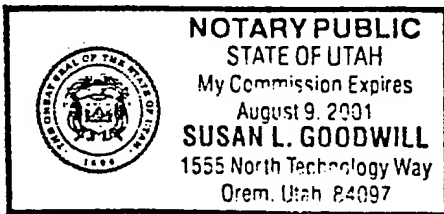
Susan L. Goodwill  
8-9-2001  
NOTARY PUBLIC  
COMMISSION EXPIRATION

Arn D. Perkins  
Arn D. Perkins  
1070 East Meadow Circle  
Alpine, Utah 84004

STATE OF Utah )  
COUNTY OF Utah ) §

On this 17th day of March, 2000, personally appeared before me Arn D. Perkins, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to on this Assignment & Agreement, and acknowledged that he executed the same.

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Susan L. Goodwill NOTARY PUBLIC  
8-9-2001 COMMISSION EXPIRATION

---

John M. Robertson  
317 East 1640 North  
Pleasant Grove, Utah 84062

STATE OF \_\_\_\_\_ )  
COUNTY OF \_\_\_\_\_ ) §

On this \_\_\_\_ day of February, 2000, personally appeared before me John M. Robertson, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to on this Assignment & Agreement, and acknowledged that he executed the same.

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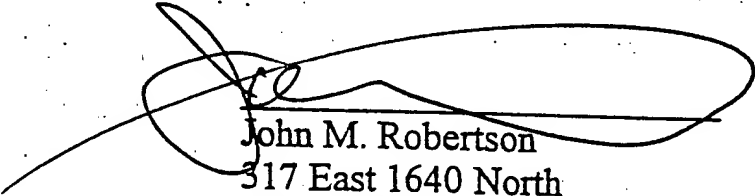
STATE OF \_\_\_\_\_ )

COUNTY OF \_\_\_\_\_ ) §

On this \_\_\_\_\_ day of February, 2000, personally appeared before me Arn D. Perkins, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to on this Assignment & Agreement, and acknowledged that he executed the same.

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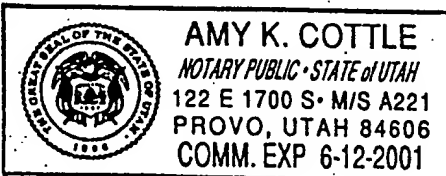
  
John M. Robertson  
317 East 1640 North  
Pleasant Grove, Utah 84062

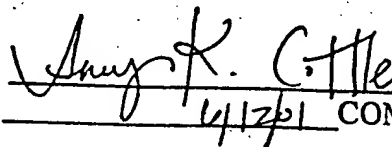
STATE OF Utah )


COUNTY OF Utah ) §

On this 3<sup>rd</sup> day of April, 2000, personally appeared before me John M. Robertson, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to on this Assignment & Agreement, and acknowledged that he executed the same.

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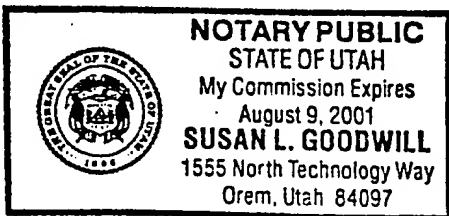
  
\_\_\_\_\_  
NOTARY PUBLIC  
4/12/01 COMMISSION EXPIRATION

  
Jeffrey Neil Sabin  
1258 East 150 South  
Spanish Fork, Utah 84660

STATE OF UTAH )  
COUNTY OF UTAH )

On this 17 day of March, 2000, personally appeared before me Jeffrey Neil Sabin, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to on this Assignment & Agreement, and acknowledged that he executed the same.

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NOTARY PUBLIC  
8-9-2001 COMMISSION EXPIRATION

\_\_\_\_\_  
Michael C. McDonald  
940 East 1100 North  
Orem, Utah 84097

STATE OF \_\_\_\_\_ )  
COUNTY OF \_\_\_\_\_ )

On this \_\_\_\_ day of February, 2000, personally appeared before me Michael C. McDonald, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to on this Assignment & Agreement, and acknowledged that he executed the same.

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NOTARY PUBLIC  
\_\_\_\_\_  
COMMISSION EXPIRATION



\_\_\_\_\_  
Jeffrey Neil Sabin  
1258 East 150 South  
Spanish Fork, Utah 84660

STATE OF \_\_\_\_\_ )  
COUNTY OF \_\_\_\_\_ ) §

On this \_\_\_\_ day of February, 2000, personally appeared before me Jeffrey Neil Sabin, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to on this Assignment & Agreement, and acknowledged that he executed the same.

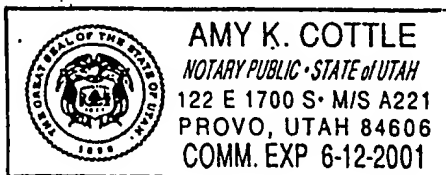
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NOTARY PUBLIC  
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COMMISSION EXPIRATION

\_\_\_\_\_  
Michael C. McDonald  
940 East 1100 North  
Orem, Utah 84097

STATE OF Utah )  
COUNTY OF Utah ) §

On this 17 day of March, 2000, personally appeared before me Michael C. McDonald, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to on this Assignment & Agreement, and acknowledged that he executed the same.



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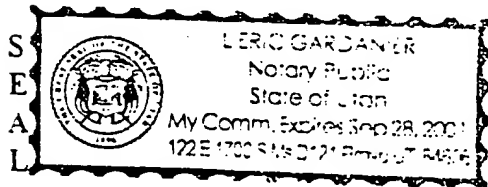
\_\_\_\_\_  
Amy K. Cottle  
6/12/01  
NOTARY PUBLIC  
COMMISSION EXPIRATION



Douglas Phillips  
136 East Ridge Road  
Orem, Utah 84057

STATE OF UTAH )  
COUNTY OF UTAH ) §

On this 22 day of ~~February~~ <sup>March</sup>, 2000, personally appeared before me Douglas Phillips, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to on this Assignment & Agreement, and acknowledged that he executed the same.



 NOTARY PUBLIC  
9/28/2001 COMMISSION EXPIRATION

Robert Michael Sheridan  
11904 Proffitt Estates Ct.  
Oak Hill, VA 20171

STATE OF \_\_\_\_\_ )  
COUNTY OF \_\_\_\_\_ ) §

On this \_\_\_\_ day of February, 2000, personally appeared before me Robert Michael Sheridan, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to on this Assignment & Agreement, and acknowledged that he executed the same.

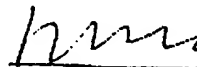
Douglas Phillips  
136 East Ridge Road  
Orem, Utah 84057

STATE OF \_\_\_\_\_ )  
COUNTY OF \_\_\_\_\_ ) §

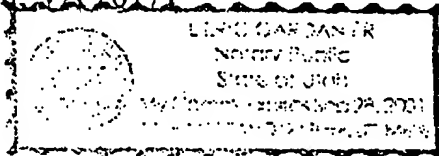
On this \_\_\_\_\_ day of February, 2000, personally appeared before me Douglas Phillips, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to on this Assignment & Agreement, and acknowledged that he executed the same.

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NOTARY PUBLIC  
COMMISSION EXPIRATION \_\_\_\_\_

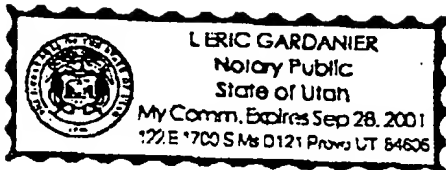
  
Robert Michael Sheridan  
11904 Proffitt Estates Ct.  
Oak Hill, VA 20171

STATE OF UTAH )  
COUNTY OF UTAH ) §



On this 29 day of <sup>March</sup>~~February~~, 2000, personally appeared before me Robert Michael Sheridan, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to on this Assignment & Agreement, and acknowledged that he executed the same.

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*L. Gardanier*  
9/28/2001

NOTARY PUBLIC  
COMMISSION EXPIRATION

\_\_\_\_\_  
Nadeem Ahmad Nazeer  
145 South 200 East #9  
Provo, Utah 84606

STATE OF \_\_\_\_\_ )

COUNTY OF \_\_\_\_\_ ) §

On this \_\_\_\_ day of February, 2000, personally appeared before me Nadeem Ahmad Nazeer, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to on this Assignment & Agreement, and acknowledged that he executed the same.

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NOTARY PUBLIC  
COMMISSION EXPIRATION

\_\_\_\_\_  
DeeAnne Barker Higley  
545 East 2875 North  
Provo, Utah 84604

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NOTARY PUBLIC  
COMMISSION EXPIRATION

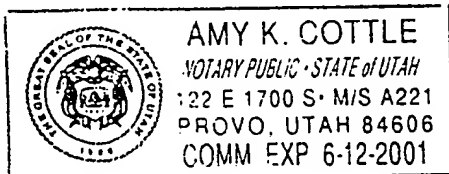
Nadeem Ahmad

Nadeem Ahmad Nazeer  
145 South 200 East #9  
Provo, Utah 84606

STATE OF Utah )  
COUNTY OF Utah ) §

On this 23<sup>rd</sup> day of March, 2000, personally appeared before me Nadeem Ahmad Nazeer, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to on this Assignment & Agreement, and acknowledged that he executed the same.

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Amy K. Cottle NOTARY PUBLIC  
6/12/01 COMMISSION EXPIRATION

\_\_\_\_\_  
DeeAnne Barker Higley  
545 East 2875 North  
Provo, Utah 84604

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NOTARY PUBLIC  
COMMISSION EXPIRATION

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Nadeem Ahmad Nazeer  
145 South 200 East #9  
Provo, Utah 84606

STATE OF \_\_\_\_\_ )  
COUNTY OF \_\_\_\_\_ ) §

On this \_\_\_\_ day of February, 2000, personally appeared before me Nadeem Ahmad Nazeer, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to on this Assignment & Agreement, and acknowledged that he executed the same.

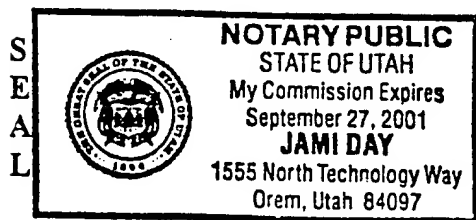
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NOTARY PUBLIC  
COMMISSION EXPIRATION

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*Dee Anne Barker Higley*  
DeeAnne Barker Higley  
545 East 2875 North  
Provo, Utah 84604

STATE OF Utah )  
COUNTY OF Utah ) §

On this 17 day of ~~February~~ March, 2000, personally appeared before me DeeAnne Barker Higley, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to on this Assignment & Agreement, and acknowledged that he executed the same.



Jami Day NOTARY PUBLIC  
27 Sept 2001 COMMISSION EXPIRATION

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Stephen R. Carter  
428 South Nebo Drive  
Spanish Fork, Utah 84660

STATE OF \_\_\_\_\_ )  
COUNTY OF \_\_\_\_\_ ) §

On this \_\_\_\_ day of February, 2000, personally appeared before me Stephen R. Carter, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to on this Assignment & Agreement, and acknowledged that he executed the same.

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COMMISSION EXPIRATION

STATE OF \_\_\_\_\_ )  
COUNTY OF \_\_\_\_\_ ) §

On this \_\_\_\_\_ day of February, 2000, personally appeared before me DeeAnne Barker Higley, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to on this Assignment & Agreement, and acknowledged that he executed the same.

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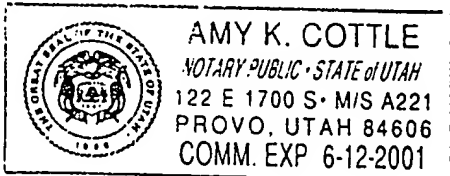
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NOTARY PUBLIC  
COMMISSION EXPIRATION

  
\_\_\_\_\_  
Stephen R. Carter  
428 South Nebo Drive  
Spanish Fork, Utah 84660

STATE OF Utah )  
COUNTY OF Utah ) §

On this 2<sup>nd</sup> day of March, 2000, personally appeared before me Stephen R. Carter, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to on this Assignment & Agreement, and acknowledged that he executed the same.

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NOTARY PUBLIC  
COMMISSION EXPIRATION 6/12/01



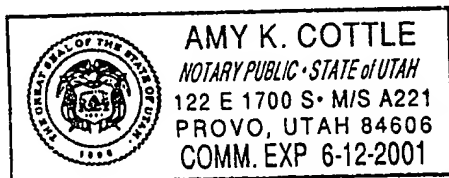
Douglas G. Earl

Douglas G. Earl  
1967 North 600 East  
Orem, Utah 84097

STATE OF Utah )  
COUNTY OF Utah ) §

On this ~~26<sup>th</sup>~~ <sup>MAY</sup> day of ~~February~~, 2000, personally appeared before me Douglas G. Earl, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to on this Assignment & Agreement, and acknowledged that he executed the same.

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Amy K. Cottle NOTARY PUBLIC  
6/12/01 COMMISSION EXPIRATION

Kelly E. Sonderegger  
225 North 100 West  
Santaquin, Utah 84655

STATE OF \_\_\_\_\_ )  
COUNTY OF \_\_\_\_\_ ) §

On this \_\_\_\_ day of February, 2000, personally appeared before me Kelly E. Sonderegger, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to on this Assignment & Agreement, and acknowledged that he executed the same.

\_\_\_\_\_  
Douglas G. Earl  
1967 North 600 East  
Orem, Utah 84097

STATE OF \_\_\_\_\_ )  
COUNTY OF \_\_\_\_\_ ) §

On this \_\_\_\_\_ day of February, 2000, personally appeared before me Douglas G. Earl, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to on this Assignment & Agreement, and acknowledged that he executed the same.

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NOTARY PUBLIC  
COMMISSION EXPIRATION

\_\_\_\_\_  
Kelly E. Sonderegger  
225 North 100 West  
Santaquin, Utah 84655

STATE OF Utah )  
COUNTY OF Utah ) §

On this 17<sup>th</sup> day of March, 2000, personally appeared before me Kelly E. Sonderegger, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to on this Assignment & Agreement, and acknowledged that he executed the same.

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AMY K. COTTLE  
NOTARY PUBLIC • STATE of UTAH  
122 E 1700 S • M/S A221  
PROVO, UTAH 84606  
COMM EXP 6-12-2001

Amy K. Cottle  
6/12/01

NOTARY PUBLIC  
COMMISSION EXPIRATION

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RECEIVED

NOV 20 2000

OFFICE OF PETITIONS

PATENT APPLICATION  
Docket No.: 1909.2.74A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Joseph Andrew Mellmer et al.  
Serial No.: 09/670,783  
Filed: September 27, 2000  
For: MANAGING DIGITAL IDENTITY INFORMATION

**DECLARATION OF JOHN W.L. OGILVIE**  
**(Petition Exhibit C)**

I, John W.L. Ogilvie, do hereby depose and say as follows:

1. I am a Registered Patent Attorney (Reg. No. 37,987) employed by the patent law firm Computer Law++, which represents Novell, Inc. ("Novell") in prosecution of the above-identified application.
2. Robert Michael Sheridan was an employee of Novell and is an inventor of the claimed invention. In particular, Mr. Sheridan signed an Assignment and Agreement which states in part "We ..., Robert Michael Sheridan, of 11904 Proffitt Estates Ct., Oak Hill, VA 20171, ... have invented methods, systems, signals, and devices for MANAGING DIGITAL IDENTITY INFORMATION, hereinafter called the 'inventions'." The Assignment and Agreement is recorded in the Office at Reel 010906 on 25 frames beginning at Frame 0679, and a copy is enclosed as Exhibit B of the enclosed Petition.
3. On September 11, 2000 at about 2 PM Mountain Standard Time, I called Mr. Sheridan's office phone number 703-860-4648, which was provided to me by Novell. I heard a recorded greeting which identified the number as belonging to Mr. Sheridan. I left a voicemail message asking Mr. Sheridan to call me at my office.
4. On September 12, 2000 at about 2 PM Mountain Standard Time, I again called Mr. Sheridan's office phone number, and left a voicemail message stating that we would like his input regarding the application.
5. On September 12, 2000, I also sent a package of documents to Mr. Sheridan by Federal Express courier service. The package included a cover letter, a copy of the patent application, a summary of legal requirements for patents, an assignment to

Novell, and a declaration & power of attorney. The cover letter requested Mr. Sheridan's participation, and asked him in any case to return all copies of the patent application, declaration, and assignment to me no later than September 27, 2000. The cover letter also indicated that the application filing would proceed without Mr. Sheridan unless I heard from him by September 27, 2000. The package was delivered by Federal Express to Mr. Sheridan's last known address on September 13, 2000; a copy of the Federal Express airbill and a Federal Express proof of delivery are attached as Exhibit D of the enclosed Petition.

6. Having received no response, on September 19, 2000 at about 1:30 PM Mountain Standard Time, I again called Mr. Sheridan's office phone number. I left a voicemail message asking Mr. Sheridan to call me at my office. I also stated that we would proceed without him if necessary, and informed him that the package of application documents had been delivered to 11904 Proffitt Estates Court in Oak Hill Virginia on September 13<sup>th</sup>.

7. I did not hear from Mr. Sheridan by September 27, 2000. Indeed, I have not received any letter, email, phone message, delivery, or other communication from Mr. Sheridan since my first message to him on September 11, 2000. In particular, I have not received the application, assignment, declaration, or any other document from him since that date.

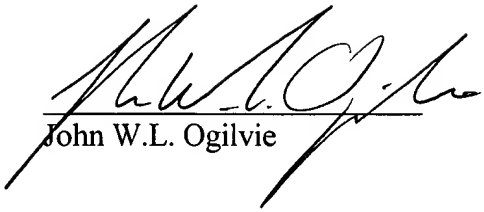
8. Failure to grant this application status under 37 C.F.R. § 1.47(a) will cause irreparable damage to Novell.

9. The last known mailing address of Mr. Sheridan is:

Robert Michael Sheridan  
11904 Proffitt Estates Court  
Oak Hill, Virginia 201714

10. I further declare that all statements made herein of my own knowledge are true, and that all statements made herein on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signed at Salt Lake City, Utah, this November 14, 2000.

  
John W.L. Ogilvie

\pDeclJWLO



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**NOV 20 2000**

**OFFICE OF PETITIONS**

9/14/2000

Dear Customer:

Here is the proof of delivery for the shipment with tracking number **818623806788**. The shipment was released without signature as authorized by the shipper/recipient.

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**Delivery Information:**

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**Signed For By:** 5781676  
**Delivery Location:** 11904 PROFFITT ESTATES CT  
**Delivery Date:** September 13, 2000  
**Delivery Time:** 1421

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**Shipping Information:**

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**Tracking No:** 818623806788      **Ship Date:** September 12, 2000

**Recipient:**

20171  
US

**Shipper:**

**Shipment Reference Information:**

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